

ET420197387US
#2
SERIAL #:

IBM DOCKET NUMBER: AUS920010525US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of:

Brown et al.

Serial No.:

Filed:

Title: **SELECTIVELY ADJUSTING THE ORDER
OF WINDOWS IN RESPONSE TO A SCROLL
WHEEL ROTATION**

: Group Art Unit:

: Intellectual Property Law Department
: International Business Machines Corp.
: 11400 Burnet Road
: Austin, Texas 78758

1c997 U.S. PTO
10/058599
01/28/02

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

Marilyn Smith Dawkins

Marilyn Smith Dawkins
Attorney for Applicants
Registration NO. 31,140
(512) 823-0094

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In Place of FORM PTO-1449 (Modified)

Serial No. _____

Applicant: Brown et al.

Filing Date: _____

Group: _____

Atty. Docket No. AUS920010525US1**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**JC997 U.S. PRO
10/058599

Reference Designation			U. S. PATENT DOCUMENTS			
Examiner Initial	Document Number	Date	Name	Class	Sub- class	Filing Date if Appropriate
AA	US 5,651,107	07/22/97	Method and Apparatus for Presenting Information in a Display System Using Transparent Windows	395	344	08/16/94
AB	US 5,764,229	06/09/98	Method of and System for Updating Dynamic Translucent Windows with Buffers	345	345	05/09/96
AC	US 6,020,887	02/01/00	Method and Apparatus for Single Scroll Bars in Graphical Windows User Interface	345	340	05/07/97
AD	US 6,147,684	11/14/00	Techniques for Navigating Layers of a User Interface	345	344	02/06/98

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub- class	Filing Date if Appropriate
BA	EP 0684542 A1		Europe	G06F	3/033	05/10/95

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	Author	Title	Date	Pertinent Pages
CA	IBM Technical Disclosure Bulletin	"Translucent Windows: Dragging an Image without Obscuring the Desktop:",	vol 37, No. 10, October 1994	p. 15
CB	IBM DOCKET AUS920010513US1	DISPLAYING SPECIFIED RESOURCE USAGE		
CC	IBM DOCKET AUS920010514US1	DISPLAYING TRANSPARENT RESOURCE AIDS		

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<u>CD</u>	IBM DOCKET AUS920010515US1	SELECTIVELY ADJUSTING TRANSPARENCY OF WINDOWS WITHIN A USER INTERFACE		
<u>CE</u>	IBM DOCKET AUS920010516US1	CHANGING THE ALPHA LEVELS OF AN APPLICATION WINDOW TO INDICATE A STATUS OF A COMPUTING TASK		
<u>CF</u>	IBM DOCKET AUS920010517US1	ADJUSTING THE TINT OF A TRANSLUCENT WINDOW TO CONVEY STATUS		
<u>CG</u>	IBM DOCKET AUS920010518US1	ADJUSTING TRANSPARENCY OF WINDOWS TO REFLECT RECENT USE		
<u>CH</u>	IBM DOCKET AUS920010519US1	DISPLAYING TRANSPARENCY CHARACTERISTIC AIDS		
<u>CI</u>	IBM DOCKET AUS920010520US1	SPECIFYING AUDIO OUTPUT ACCORDING TO WINDOW GRAPHICAL CHARACTERISTICS		
<u>CJ</u>	IBM DOCKET AUS920010521US1	AUTOMATIC WINDOW REPRESENTATION ADJUSTMENT		
<u>CK</u>	IBM DOCKET AUS920010522US1	VARYING HEIGHTS OF APPLICATION IMAGES TO CONVEY APPLICATION STATUS		
<u>CL</u>	IBM DOCKET AUS920010525US1	SELECTIVELY ADJUSTING THE ORDER OF WINDOWS IN RESPONSE TO A SCROLL WHEEL ROTATION		

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PATENT:

ET 42019738745

IBM DOCKET NUMBER: AUS920010525US1

THE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

1-28-02

jc997 U.S. PTO
10/058599
01/28/02

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Michael Wayne Brown
Andrew Douglas Hately
Kelvin Roderick Lawrence
Michael A. Paolini

For: **SELECTIVELY ADJUSTING THE ORDER OF WINDOWS IN RESPONSE TO A
SCROLL WHEEL ROTATION**

Assignee Name: International Business Machines Corporation
Assignee Residence: Armonk, New York

Enclosed are:

- X Patent Specification and Number of Declarations ~~(2)~~
- 5 sheets of drawing(s). Informal
- X An assignment of the invention to International Business Machines Corporation (includes Recordation Form Cover Sheet).
- A certified copy of a application.
- X Information Disclosure Statement, PTO 1449 and copies of references.

The filing fee has been calculated as shown below:

For	Number Filed	Number Extra	Rate	Fee
Basic Fee				\$740.00
Total Claims No.	18 - 20	0	x 18 =	\$ 0.00
Indep. Claims No.	6 - 3	3	x 84 =	\$252.00
MULTIPLE DEPENDENT CLAIM PRESENTED	0		x 270 =	\$ 0.00
			TOTAL	\$992.00

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X Please charge my Deposit Account No. 09-0451 in the amount of 992⁰⁰ ~~\$950.00~~.
A duplicate copy of this sheet is enclosed.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0451. A duplicate copy of this sheet is enclosed.

X Any additional filing fees required under 37 CFR \$1.16.

X Any patent application processing fees under 37 CFR \$1.17.

Respectfully submitted,

By Marilyn Smith Dawkins
Marilyn Smith Dawkins
Registration No. 31,140
Intellectual Property Law Dept.
IBM Corporation
11400 Burnet Road, Zip 4054
Austin, Texas 78758
Telephone (512) 823-0094